

Top Quark Studies at the Tevatron

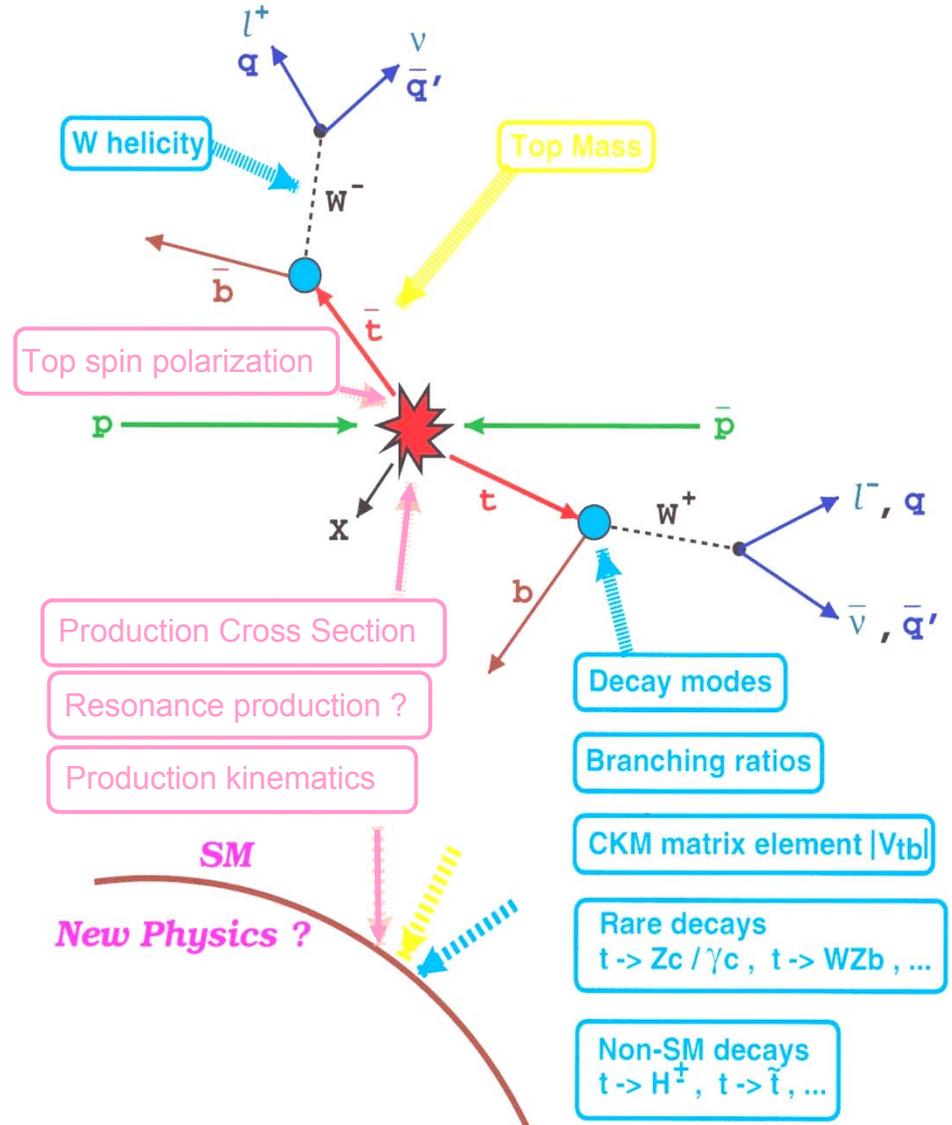
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Gatchina, Russia, July 4 2008

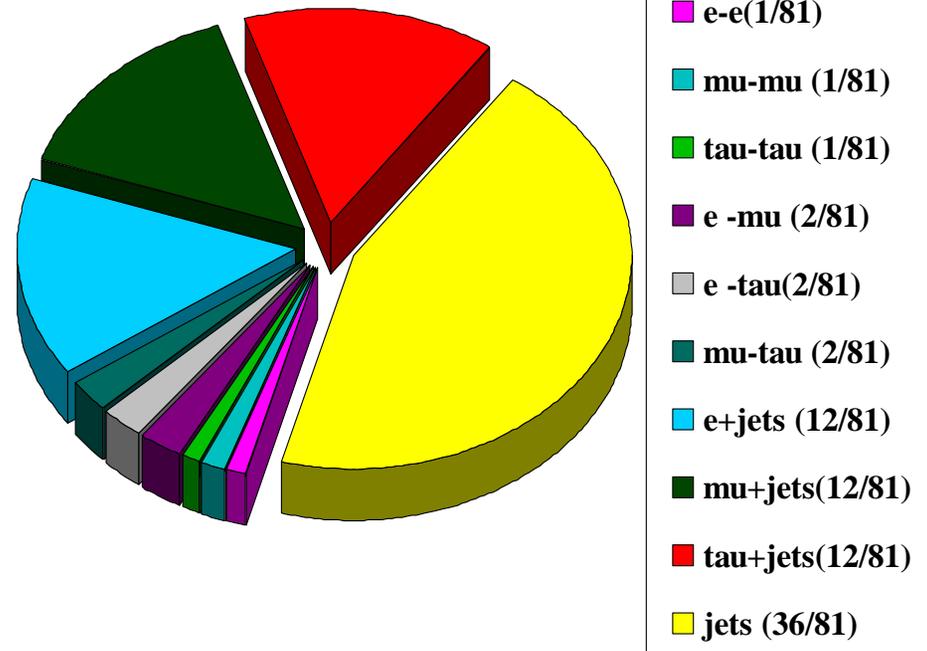
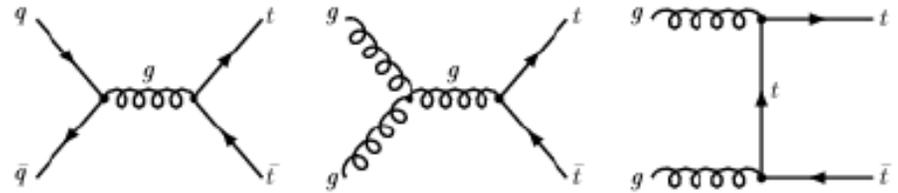
Physics of the Top Quark

- Main question: is top quark adequately described by the Standard Model?
- Resonant production, non-SM decays?
- Background for “beyond the SM” processes: exotics, SUSY, etc.



$t\bar{t}$ Production and Decay in the SM

- At Tevatron, top quarks are produced predominantly in pairs (85% $q\bar{q}$ annihilation, 15% gluon fusion at 1.96 TeV)
- Top quark decays into Wb in $\sim 99.9\%$ of the cases (SM). Observed $t\bar{t}$ final states are classified according to subsequent decays of the W



$t\bar{t}$ Signatures

- Main signatures:
 - High p_T leptons and/or jets
 - Missing energy due to escaping neutrinos
 - Two b jets in the final state
 - Production near threshold – spherical topology
- Event selection:
 - High P_T lepton trigger or multijet trigger
 - Well-identified lepton with $P_T > 20$ GeV/c, jets with $E_T > 20$ GeV consistent with the final state, missing $E_T > 20$ GeV
 - b jet identification (by secondary vertex or soft lepton tag)
 - Additional kinematic cuts can be used to enhance the signal fraction
- Typical S/B after selection:
 - Dilepton: 3:1 (practically pure if vertex b tag is also required)
 - Lepton+jets (with b tag): 3:1
 - All hadronic (with b tag): 1:5

$t\bar{t}$ Cross Section Measurement

- Tests SM QCD calculations
- Sensitive to new physics (e.g., resonant $t\bar{t}$ production, production via scalar top quarks, *etc.*)
- Defines the event sample for measurement of other top properties
- Most recent theoretical calculations (using CTEQ6.5 PDFs and $M_{\text{top}} = 171 \text{ GeV}/c^2$):

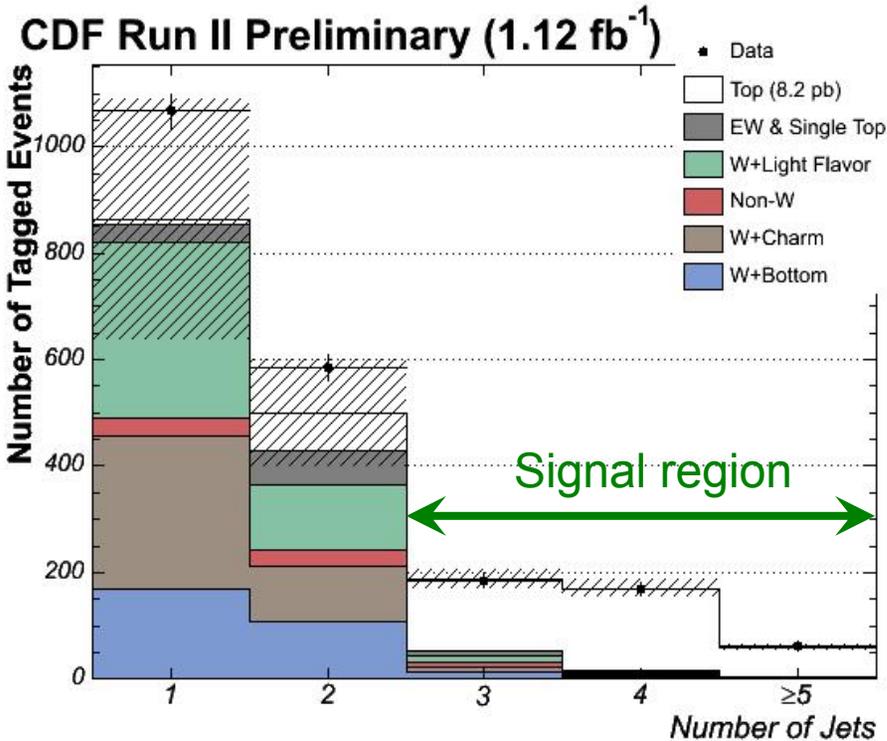
➤ Approx. NNLO: Moch & Uwer, arXiv:0804.1476

$$\sigma = 7.93^{+0.06 (1.0\%)}_{-0.28 (3.5\%)} (\text{scales})^{+0.44 (5.5\%)}_{-0.45 (5.5\%)} (\text{PDFs}) \text{ pb}$$

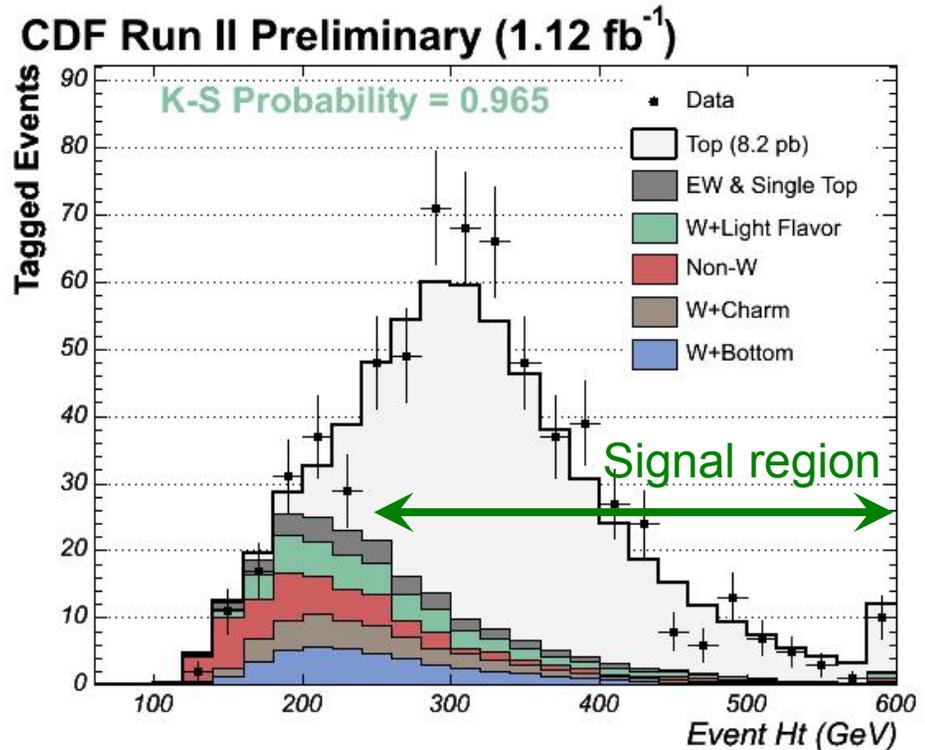
➤ NLO + NLL resummed: Cacciari *et al.*, arXiv:0804.2800

$$\sigma = 7.61^{+0.38 (5.1\%)}_{-0.80 (10.9\%)} (\text{scales})^{+0.49 (6.6\%)}_{-0.34 (4.6\%)} (\text{PDFs}) \text{ pb}$$

L+Jets $t\bar{t}$ Cross Section with b Tag: CDF



$H_T > 250 \text{ GeV}$
 Missing $E_T > 30 \text{ GeV}$
 ≥ 1 tight tag



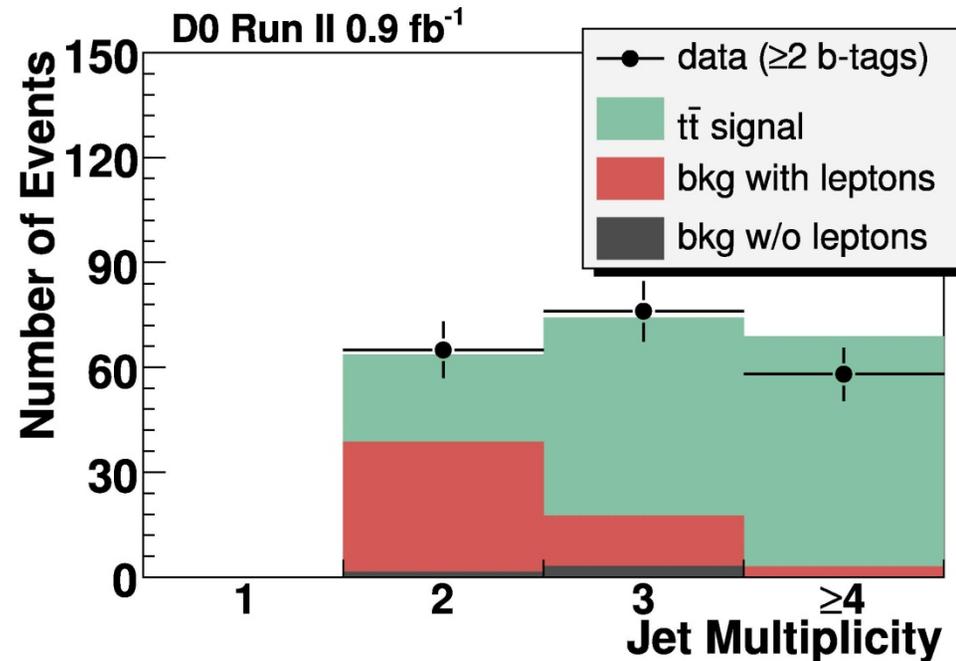
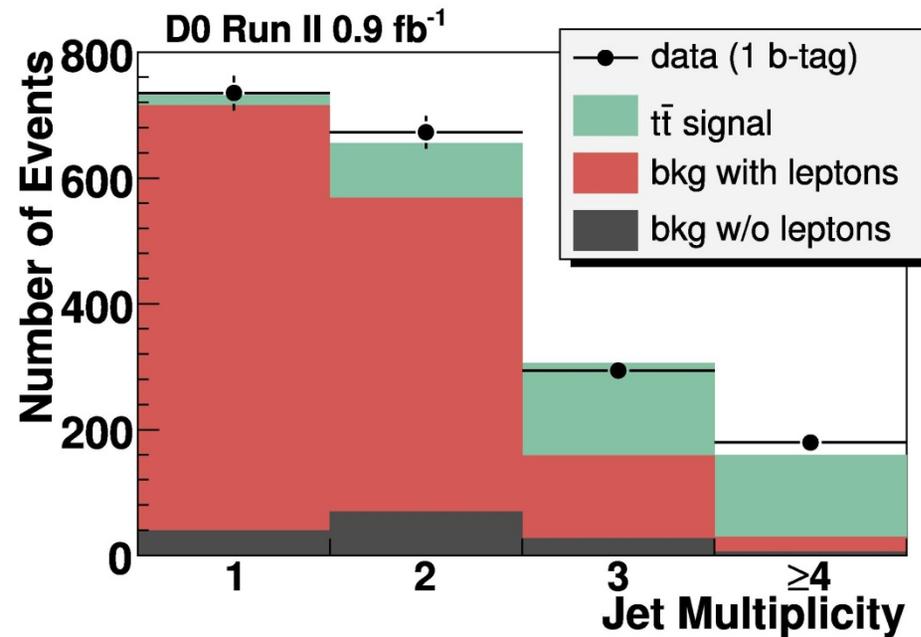
Counting experiment:
$$\sigma_{t\bar{t}} = \frac{N_{obs} - N_{bkg}}{(\epsilon_{tag} * SF) (\epsilon_{pretag} \int \mathcal{L} dt)}$$

Signal region: 416 tags, 75 ± 15 bg events

$$\sigma = 8.2 \pm 0.5 \text{ (stat)} \pm 0.8 \text{ (sys)} \pm 0.5 \text{ (lumi)} \text{ pb}$$

L+Jets $t\bar{t}$ Cross Section: D0

- Two complementary methods: with b-tag and with “likelihood discriminant”
 - Different trade-offs between systematic and statistical uncertainties



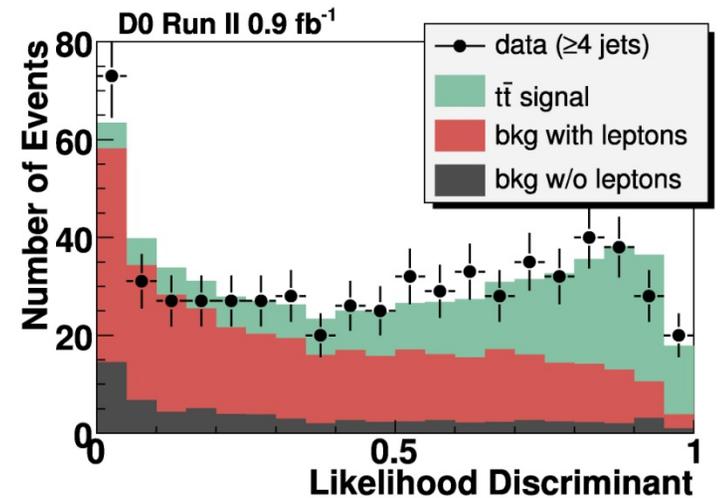
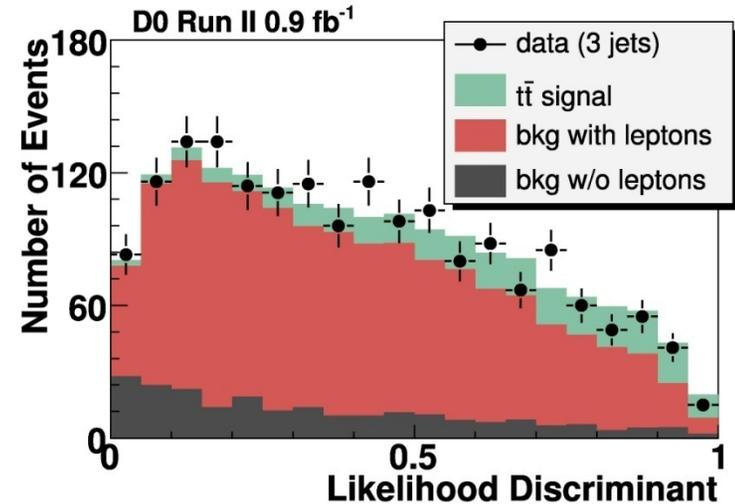
$$\sigma = 8.1 \pm 0.5 \text{ (stat)} \pm 0.7 \text{ (sys)} \pm 0.5 \text{ (lumi)} \text{ pb}$$

L+Jets with Likelihood Discriminant: D0

- Use several kinematic variables to distinguish signal from background (sphericity, aplanarity, H_T , *etc.*)
- Construct the likelihood ratio discriminant ignoring variable correlations:

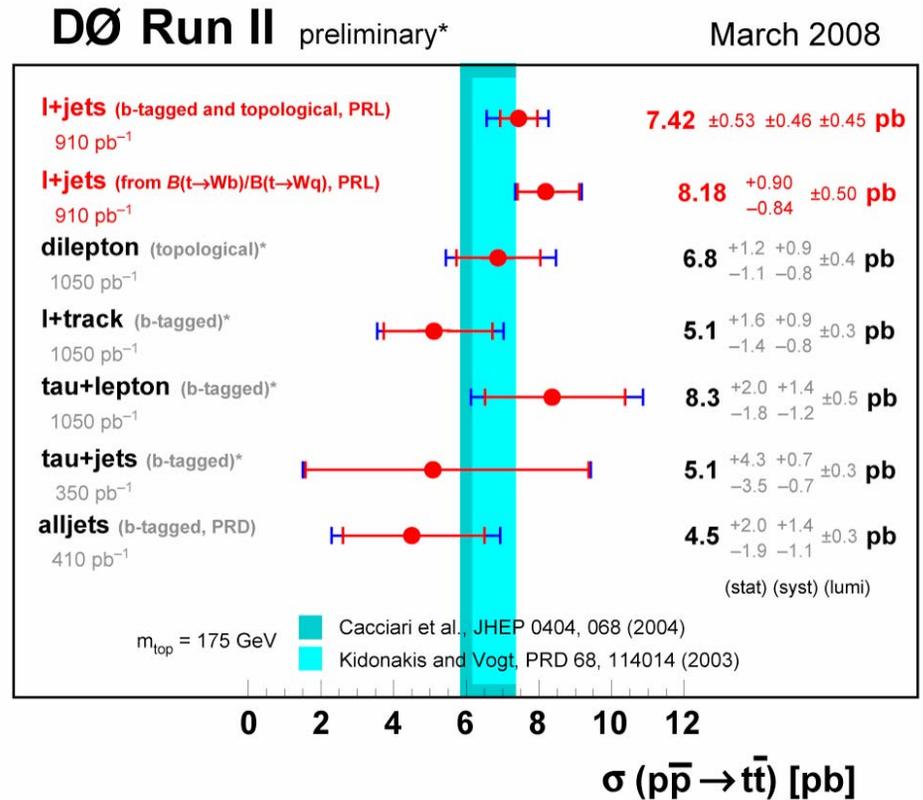
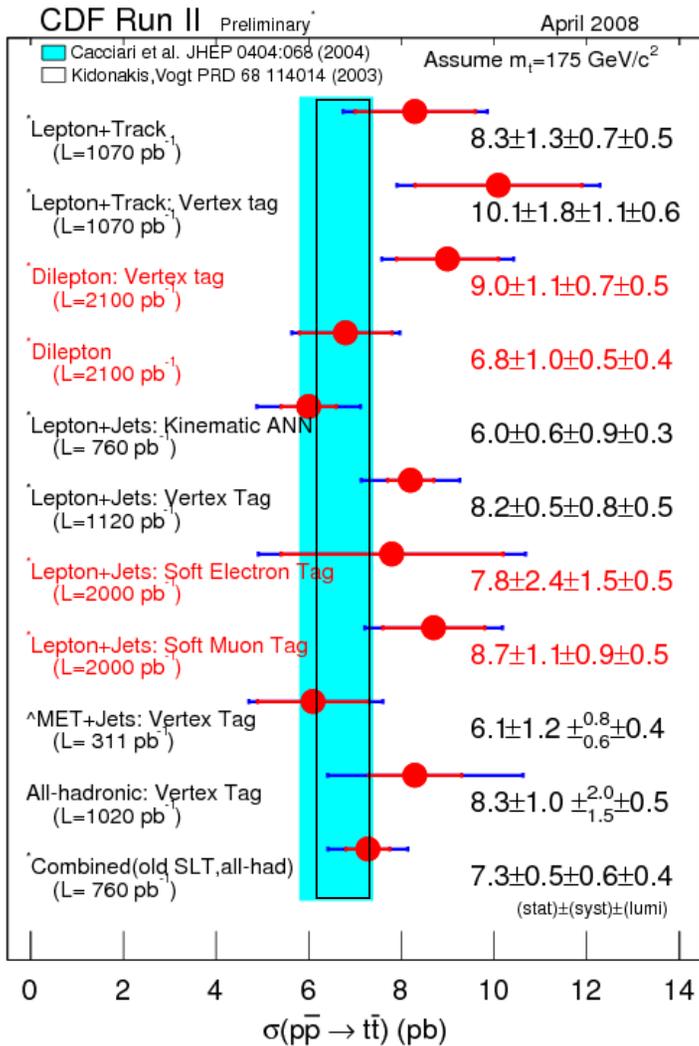
$$L = \frac{\prod_i S_i}{\prod_i S_i + \prod_i B_i}$$

- The shape of the discriminant distribution is sensitive to $\sigma_{t\bar{t}}$



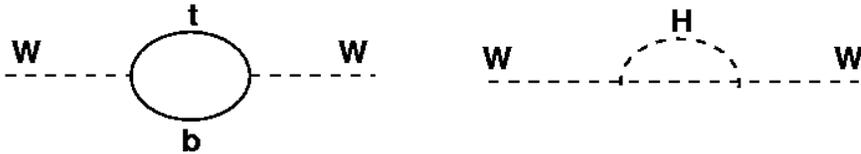
$$\sigma = 6.6 \pm 0.8 \text{ (stat)} \pm 0.4 \text{ (sys)} \pm 0.4 \text{ (lumi)} \text{ pb}$$

$t\bar{t}$ Cross Section Measurement Summary

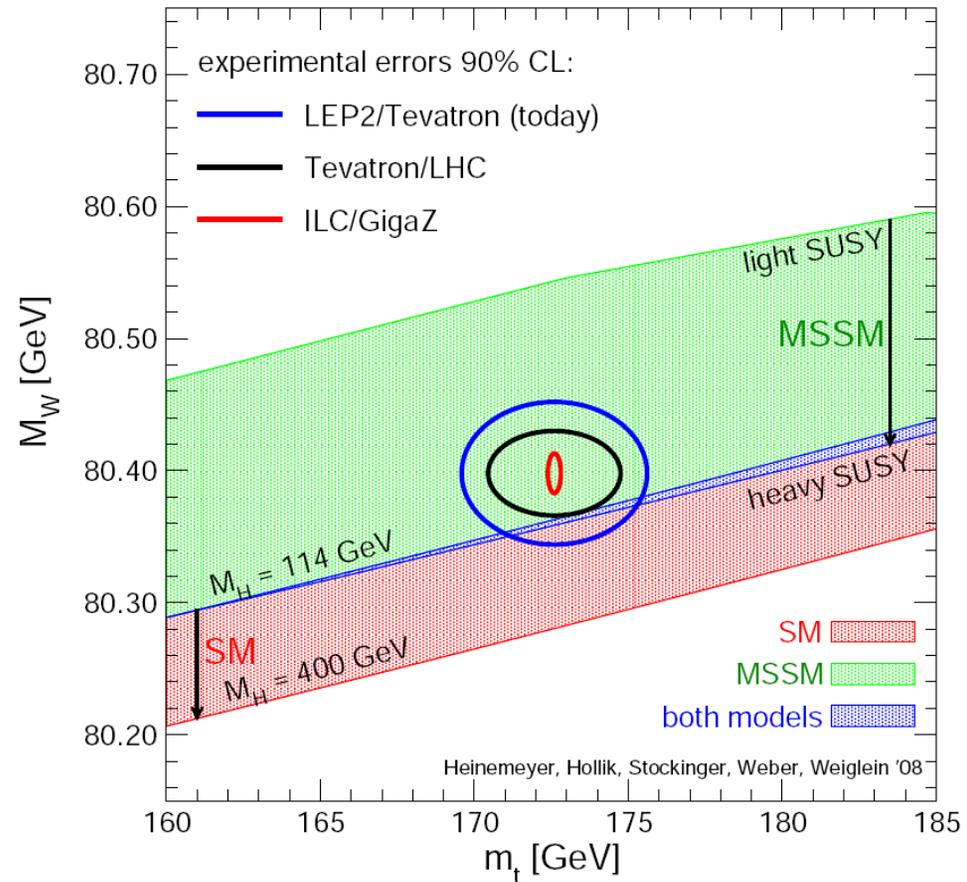


Top Quark Mass

- Fundamental parameter of the SM
- Enters into a variety of electroweak calculations at one loop level
- Example: W mass receives quantum corrections proportional to M_t^2 and $\log(M_H)$



- Highly correlated with M_H in the precision SM fit



Top Mass Measurements

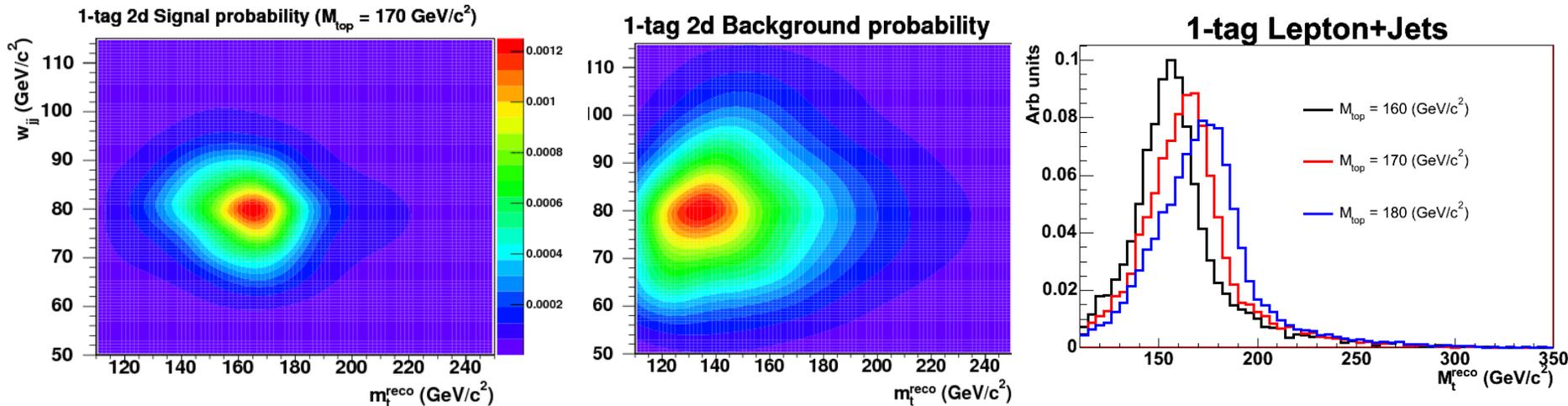
- A variety of measurement methods can be constructed: one can look at any observable sensitive to top mass
- Lepton+jets channel works best:
 - Optimal compromise between available statistics and background contamination
 - Allows for calibrating the calorimeter jet energy scale with W mass
- Precision measurement already: systematic uncertainty is larger than the statistical uncertainty
- Two most sensitive techniques: “template” method and “matrix element” method

Template Top Mass Analysis: CDF

- Select best jet-to-parton assignments and m_t^{reco} by minimizing

$$\chi^2 = \sum_{i=\ell, 4\text{jets}} \frac{(p_T^{i,\text{fit}} - p_T^{i,\text{meas}})^2}{\sigma_i^2} + \sum_{j=x,y} \frac{(U_j^{\text{fit}} - U_j^{\text{meas}})^2}{\sigma_j^2} + \frac{(M_{jj} - M_W)^2}{\Gamma_W^2} + \frac{(M_{\ell\nu} - M_W)^2}{\Gamma_W^2} + \frac{(M_{bjj} - m_t^{\text{reco}})^2}{\Gamma_t^2} + \frac{(M_{b\ell\nu} - m_t^{\text{reco}})^2}{\Gamma_t^2}$$

- Build 2-d templates of the dijet mass closest to the W mass vs. m_t^{reco} for different values of top pole mass and jet energy scale. Determine the top pole mass and the jet energy scale which maximize the overall likelihood.



$$M_{\text{top}} = 171.9 \pm 1.7 \text{ (stat.+JES)} \pm 1.0 \text{ (syst)} \text{ GeV}/c^2 = 171.9 \pm 2.0 \text{ GeV}/c^2$$

Matrix Element Method

- Similar reasoning to Bayesian statistics: integrate over all unknown degrees of freedom. In this case, we have an extremely well justified prior – the Standard Model.
- Build the probability to see an event in the detector:

$$P_{ev}(\mathbf{y}|\mathbf{a}) = \sum_i f_i P_i(\mathbf{y}|\mathbf{a})$$

$$P_i(\mathbf{y}|\mathbf{a}) = \frac{1}{\underbrace{\sigma_i(\mathbf{a})}_{\text{cross section}} \underbrace{A_i(\mathbf{a})}_{\text{acceptance}}} \sum_k \int_X \underbrace{W_k(\mathbf{y}|\mathbf{x}, \mathbf{a})}_{\text{transfer function}} \underbrace{\epsilon(\mathbf{x}, \mathbf{a})}_{\text{efficiency}} \underbrace{|M_i(\mathbf{x}, \mathbf{a})|^2}_{\text{matrix element}} \underbrace{T_i(\mathbf{x}, \mathbf{a})}_{\text{PDFs, flux}} d\mathbf{x}$$

cross section

acceptance

combinatorics

transfer function

phase space

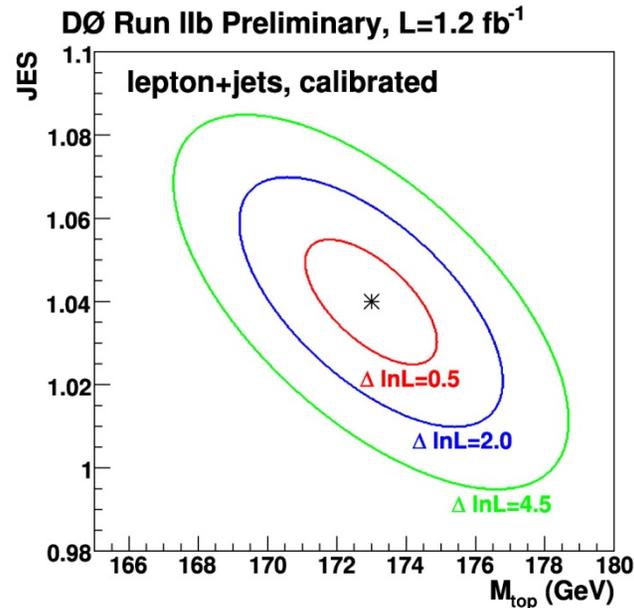
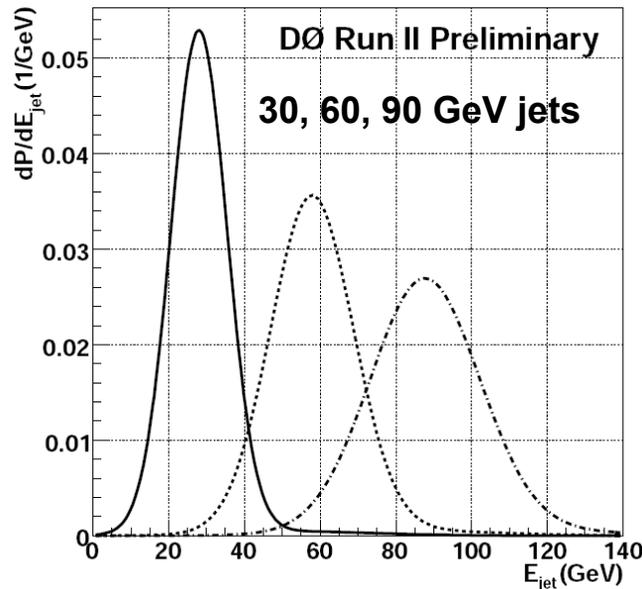
efficiency

PDFs, flux

matrix element

Matrix Element Analysis: D0

- Direct implementation of the ME scheme is difficult due to extreme CPU intensity of the method:
 - $\sim 10^5$ events, $\sim 10^3$ points in the 2-d parameter space (M_{top} , JES)
 - Highly peaked matrix element (dominated by the t , W propagator terms) requires a non-trivial phase space sampling scheme
- Simplifying assumptions: leading order ME, directions of the jets and the lepton are perfectly measured, transfer functions can be factorized
- Advanced neural net b-tagging

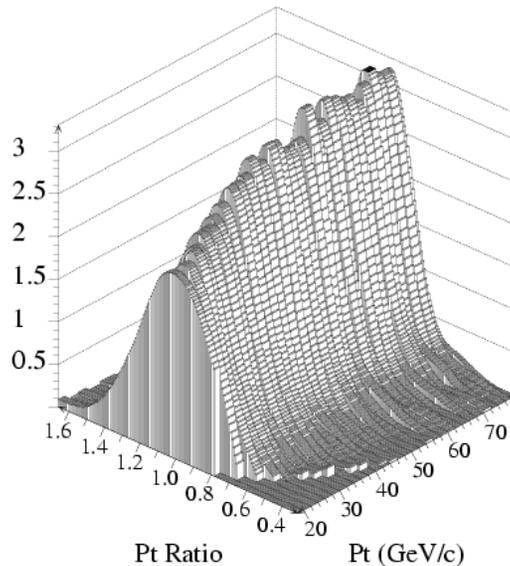


$$M_{\text{top}} = 172.2 \pm 1.1 \text{ (stat)} \pm 1.6 \text{ (syst)} \text{ GeV}/c^2 = 172.2 \pm 1.9 \text{ GeV}/c^2$$

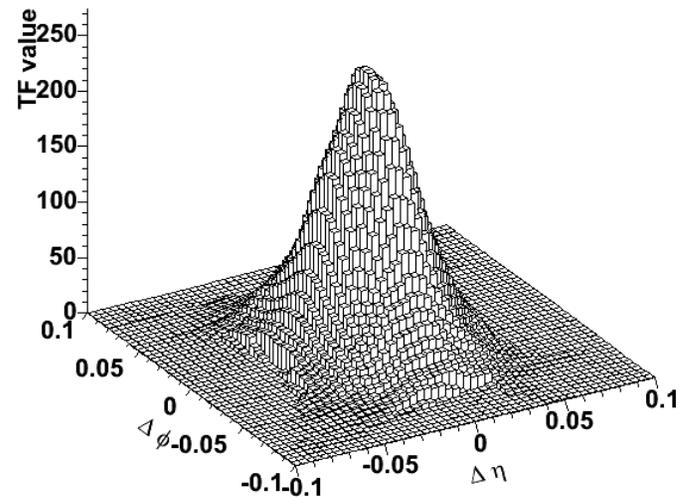
Matrix Element Analysis: CDF

- Only a few dimensionality reduction assumptions; 19-dimensional integration is performed by Quasi-Monte Carlo
- Non-parametric models are used for transfer functions and efficiencies. Transfer functions depend on jet mass as well as on P_T
- Background probability is not used; an average expected background contribution is subtracted from the sample likelihood

Transfer Function



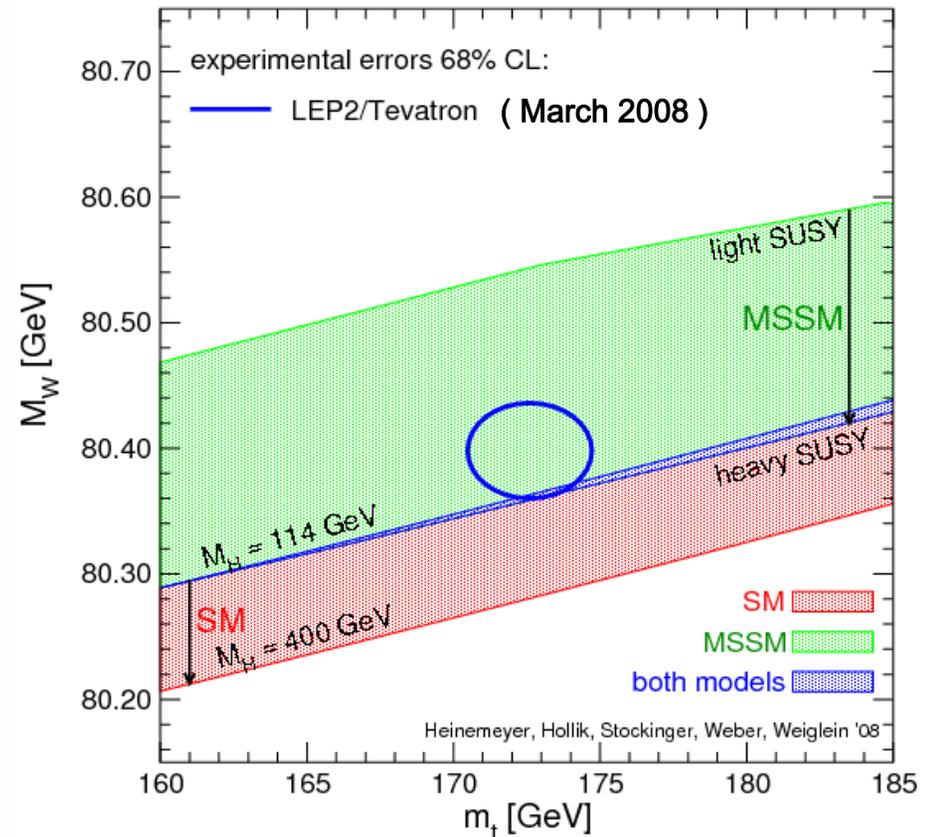
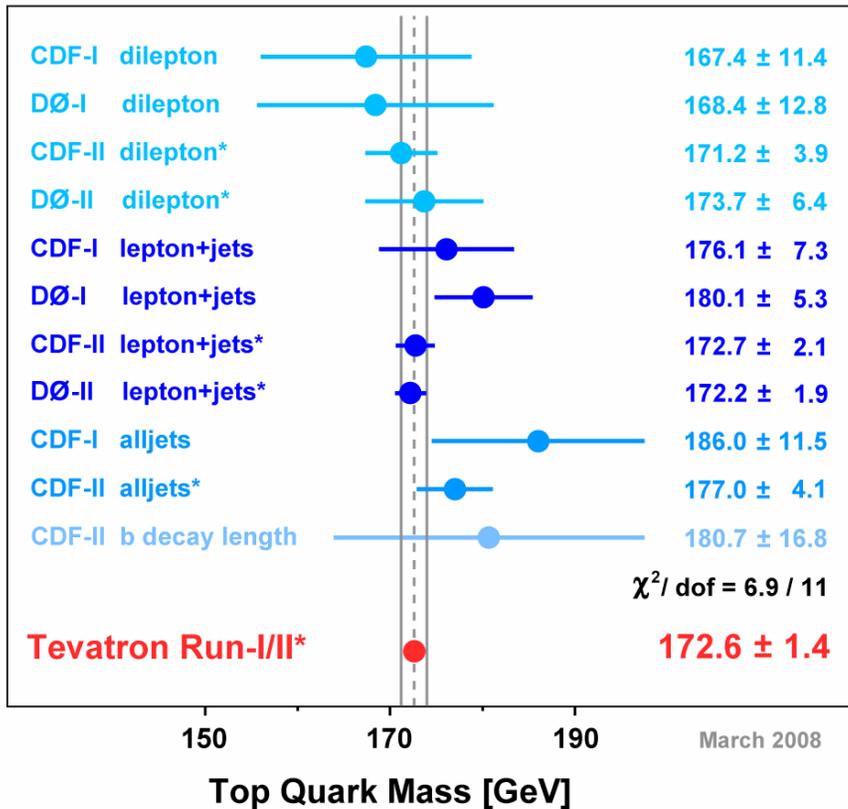
Light quark angular transfer function, $\eta = 0$, $m = 5$



$$M_{\text{top}} = 171.4 \pm 1.1 \text{ (stat.)} \pm 1.0 \text{ (JES)} \pm 1.0 \text{ (syst)} \text{ GeV}/c^2 = 171.4 \pm 1.8 \text{ GeV}/c^2$$

Summary of Top Mass Measurements

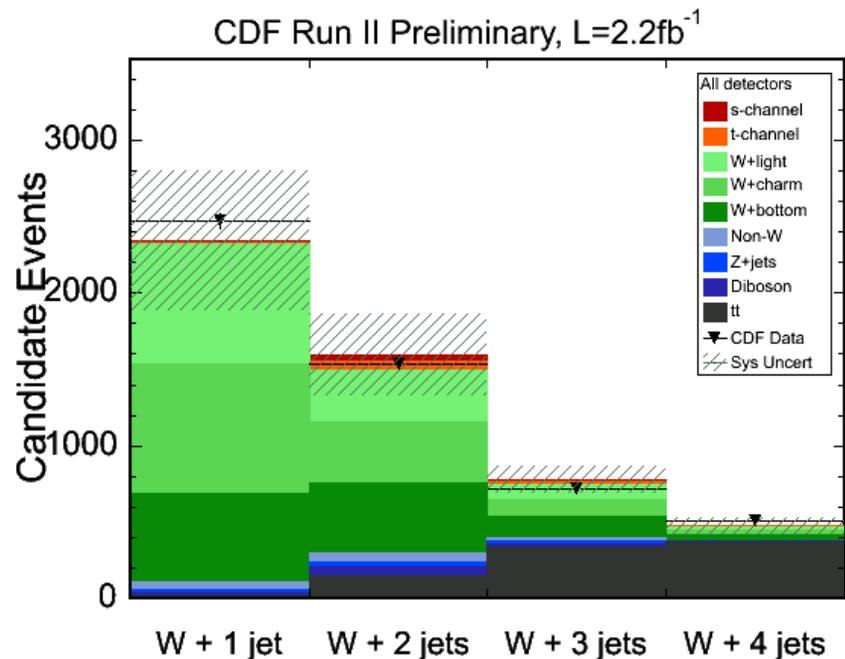
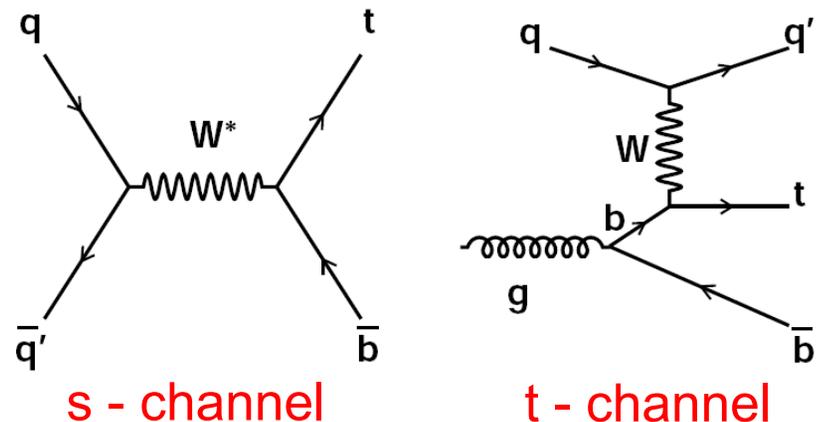
Best Independent Measurements of the Mass of the Top Quark (*=Preliminary)



Most recent best single measurement: $171.4 \pm 1.8 \text{ GeV}/c^2$ (CDF)

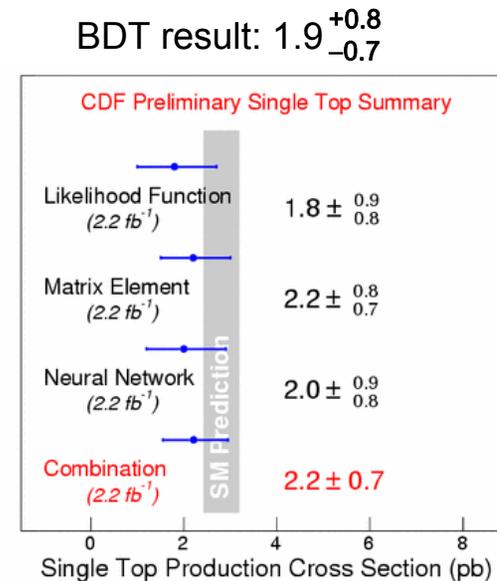
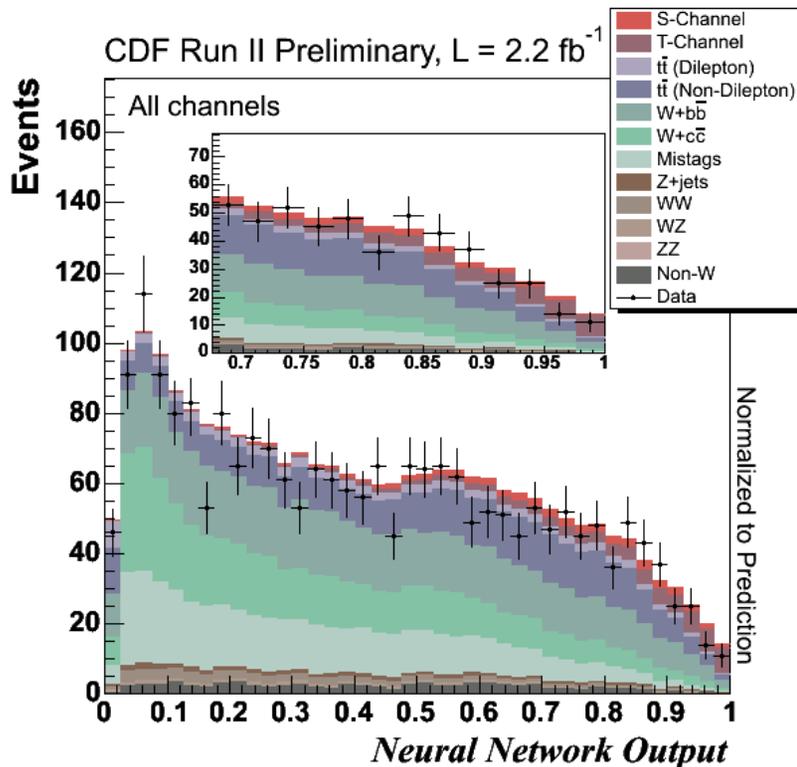
Single Top Production

- Tests the Wtb coupling
- Measure $|V_{tb}|$: SM $\sigma \propto |V_{tb}|^2$
- Cross section sensitive to new physics
- Background for WH production
- SM prediction (NLO):
 $\sigma = 2.9 \pm 0.4 \text{ pb}$
- Simple counting experiment in different N_{jets} bins does not work: background uncertainty is too large. Must use event kinematics to further suppress background.



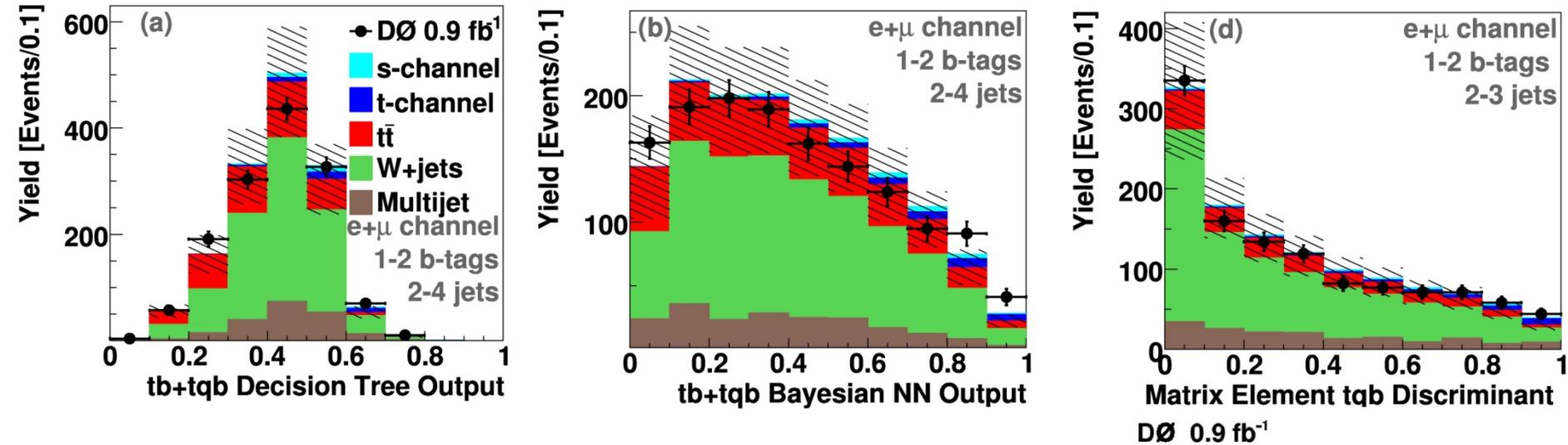
Single Top Search: CDF

- Construct a single variable (discriminant) highly sensitive to single top kinematics
- Tried to do it in four different ways: neural network, likelihood discriminant, matrix element method, boosted decision trees
- Combined result (NN, LD, and ME together) – using yet another NN



Expected p-value = 2.0×10^{-7} (5.1σ)
 Observed p-value = 9.4×10^{-5} (3.7σ)
 $|V_{tb}| = 0.88 \pm 0.14$ (exp) ± 0.07 (theory)

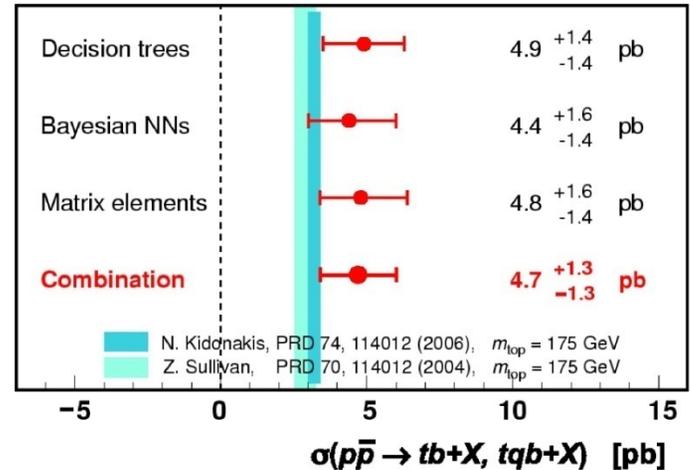
Single Top Search: D0



- Three methods pursued to separate signal from background:

- Boosted decision trees
- Matrix element method
- Bayesian neural network

- Combined using BLUE



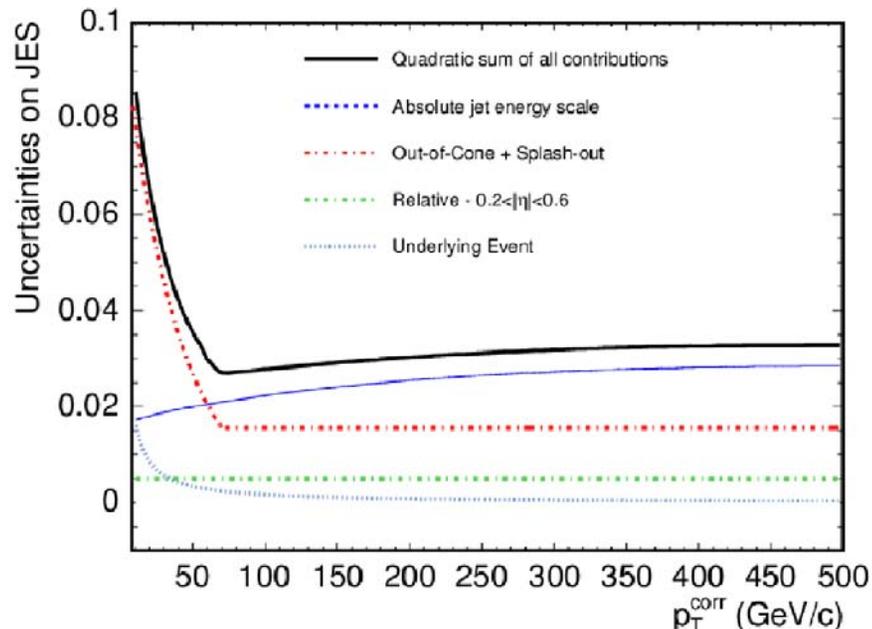
Expected p-value = 1.1×10^{-3} (2.3 σ)
 Observed p-value = 1.4×10^{-4} (3.6 σ)

Top Properties and BSM Searches

- $\sigma(gg \rightarrow t\bar{t})/\sigma(pp \rightarrow t\bar{t})$
- W helicity in top decays
- $d\sigma_{t\bar{t}}/dM_{t\bar{t}}$
- $BR(t \rightarrow Wb)/BR(t \rightarrow Wq)$ and V_{tb}
- Forward-backward production asymmetry
- Direct BSM physics searches:
 - FCNC (CDF: $t \rightarrow Zq$, D0: single top production)
 - Fourth generation quarks (t')
 - $t\bar{t}$ resonances (e.g., Z')
 - W' , H^+ , stop, massive gluon
- No significant evidence for new physics found

Systematic Uncertainties

- Precision of many Tevatron top analyses is now limited by the systematic uncertainty
- Jet energy scale is the major contributor to the systematic error for many top analyses
- An effort is under way to re-evaluate systematic uncertainty calculations for precision measurements:
 - Eliminate double counting
 - Talk to phenomenologists about model misspecifications
 - Derive uncertainties event-by-event



Best M_{top} : JES uncertainty is 1.0 GeV

Systematic source	Systematic uncertainty (GeV)
Calibration	0.1
MC generator	0.4
ISR and FSR	0.5
Residual JES	0.5
<i>b</i> -JES	0.4
Lepton P_T	0.2
Multiple interactions	0.1
PDFs	0.2
Background	0.3
Total	1.0

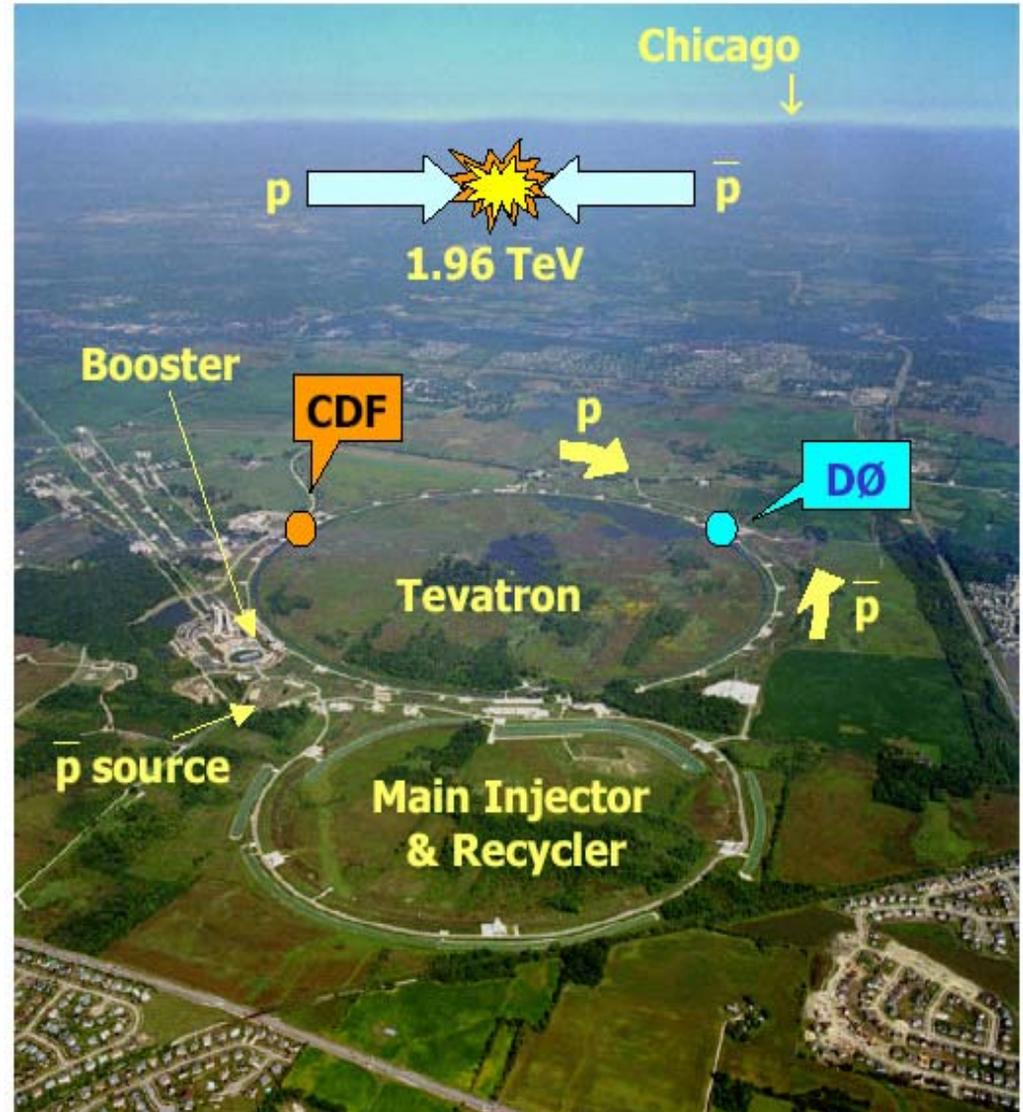
Summary

- A vigorous top physics study program is conducted by the Tevatron experiments: the SM is under continuous test
- Precision of several interesting analyses (cross section, mass) is limited by the systematic uncertainty – these results will clearly stand up well into the LHC era
- So far, no significant disagreement between the data and the SM in the top land
- Useful web pages:
 - TOP 2008 conference: <http://www.pi.infn.it/top2008/>
 - CDF top results: <http://www-cdf.fnal.gov/physics/new/top/top.html>
 - D0 top results:
http://www-d0.fnal.gov/Run2Physics/top/top_public_web_pages/top_public.html

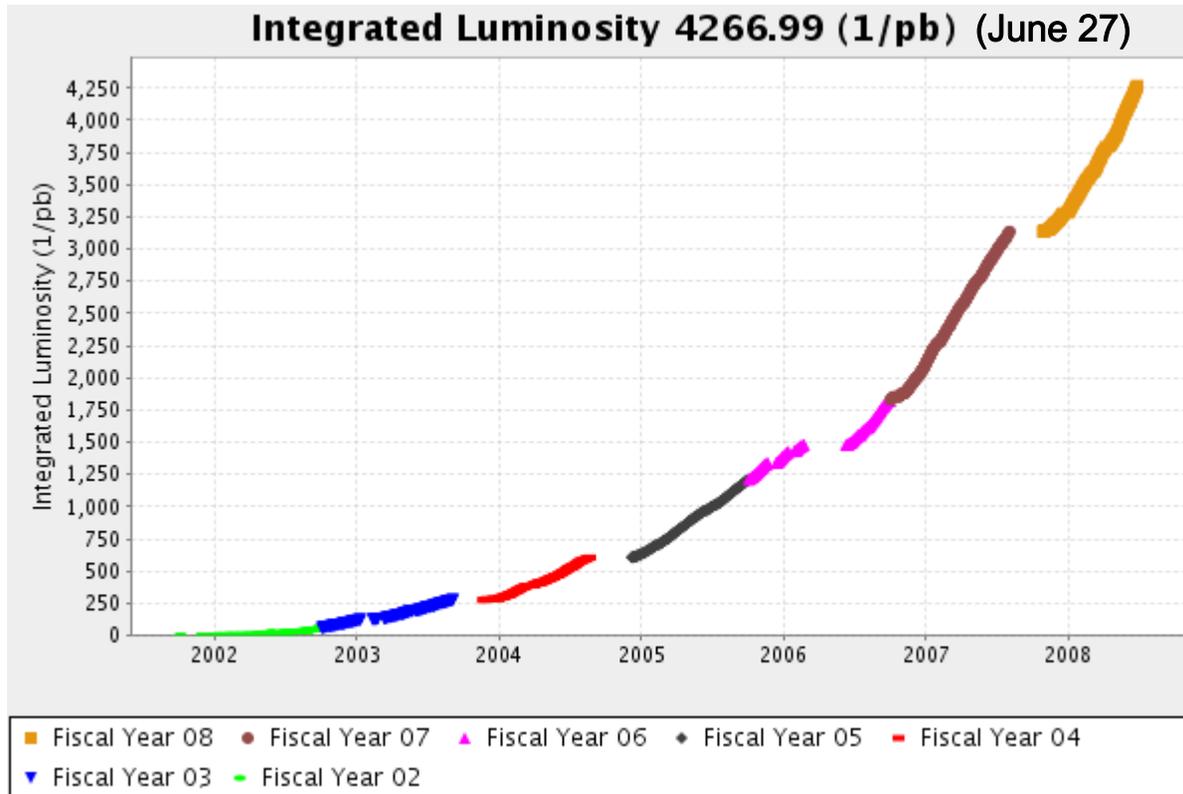
Backup Slides

Fermilab Tevatron

- The highest energy collider in operation:
 $E_{\text{cm}} = 1.96 \text{ TeV}$
- Run I 1990-1995
110 pb⁻¹ per experiment
- Run II 2001-2009(?)
6.5 to 8 fb⁻¹ expected
- Top quark was discovered at Tevatron in 1995, simultaneously by CDF and D0

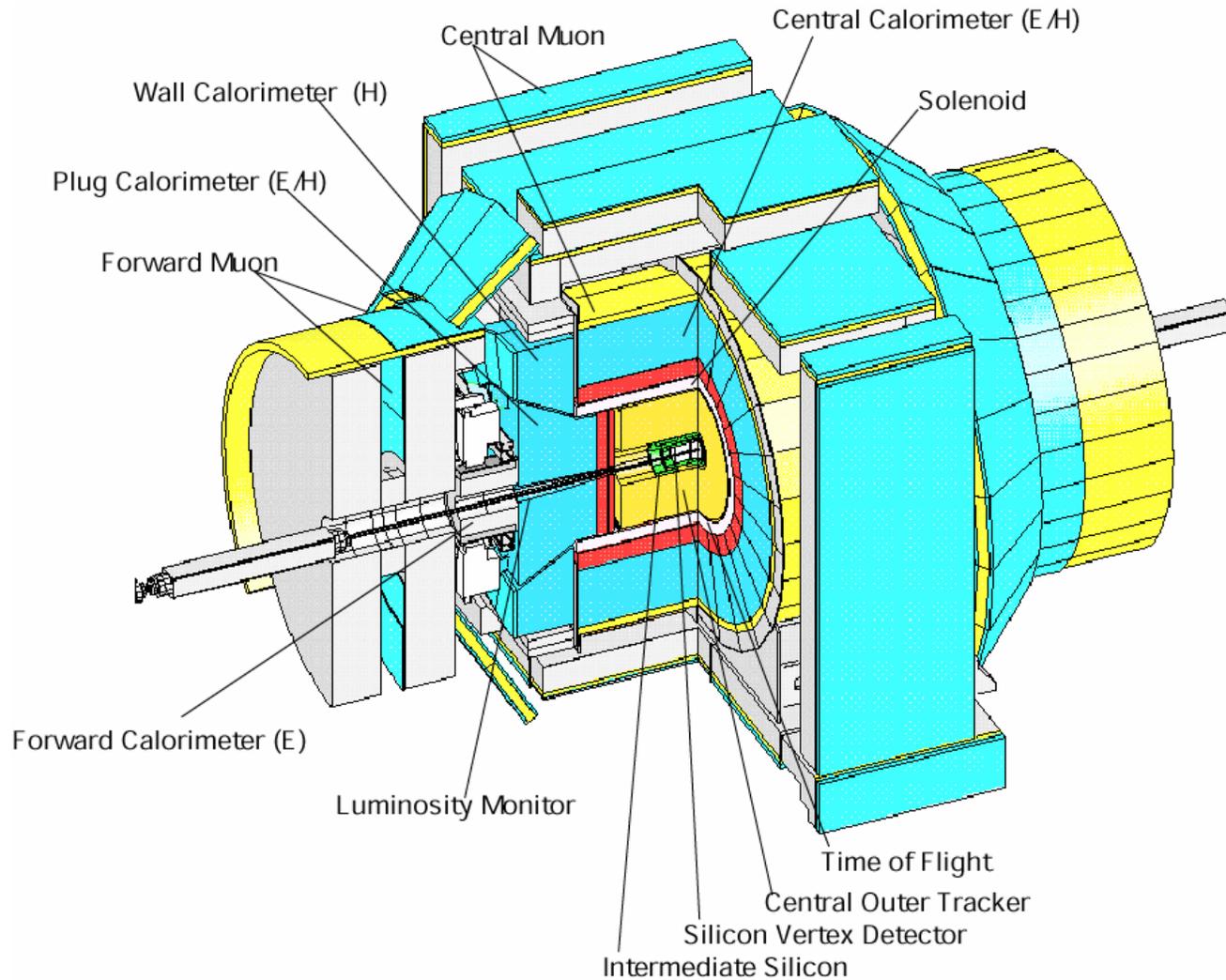


Tevatron Performance



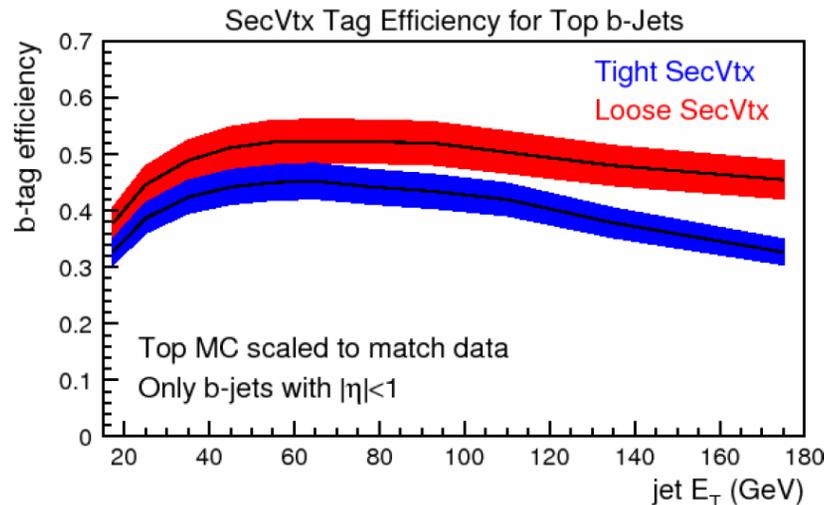
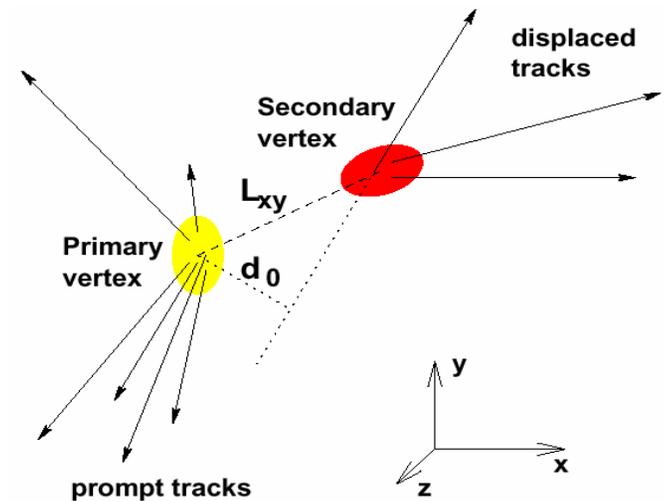
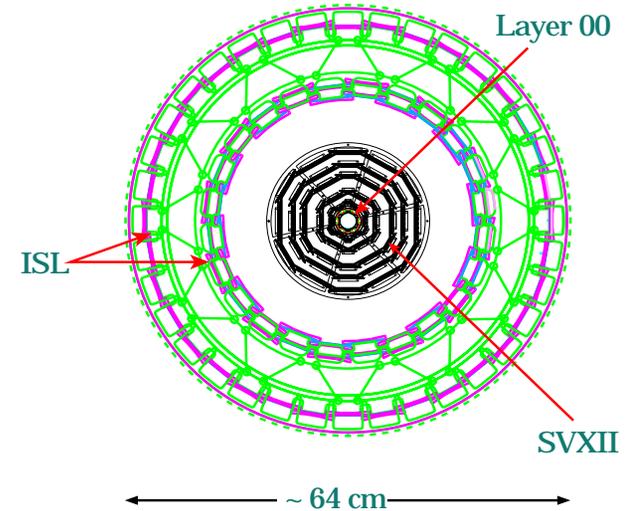
- Doubled dataset each year for four years
- 1.5-2.0 fb⁻¹ per year in 2007 and beyond
- Will reach ~6.5 fb⁻¹ by the end of 2009, ~8 fb⁻¹ in 2010

The CDF Detector



b Tagging with Silicon Vertex Detector (e.g., in CDF)

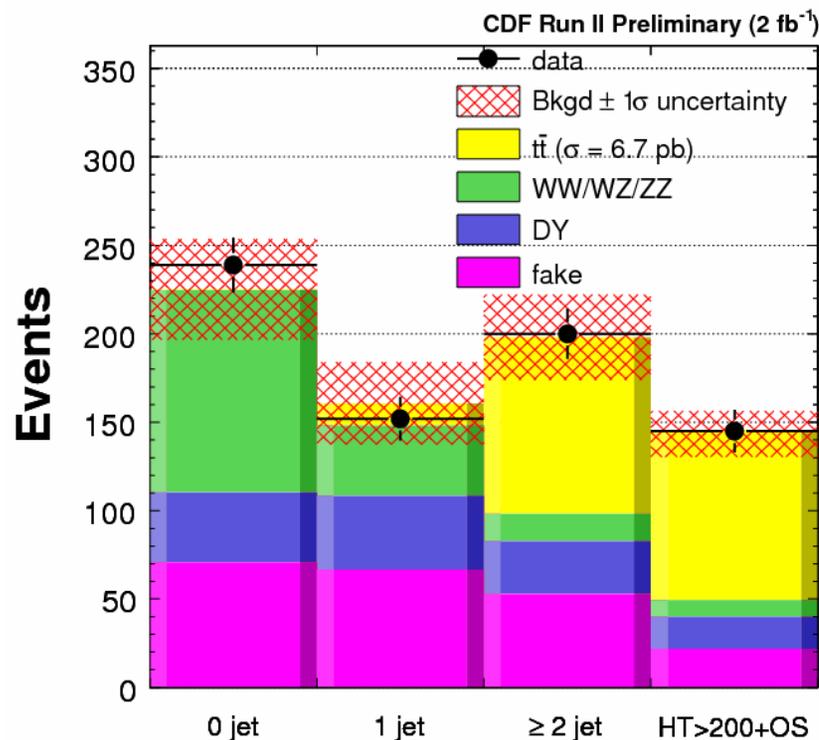
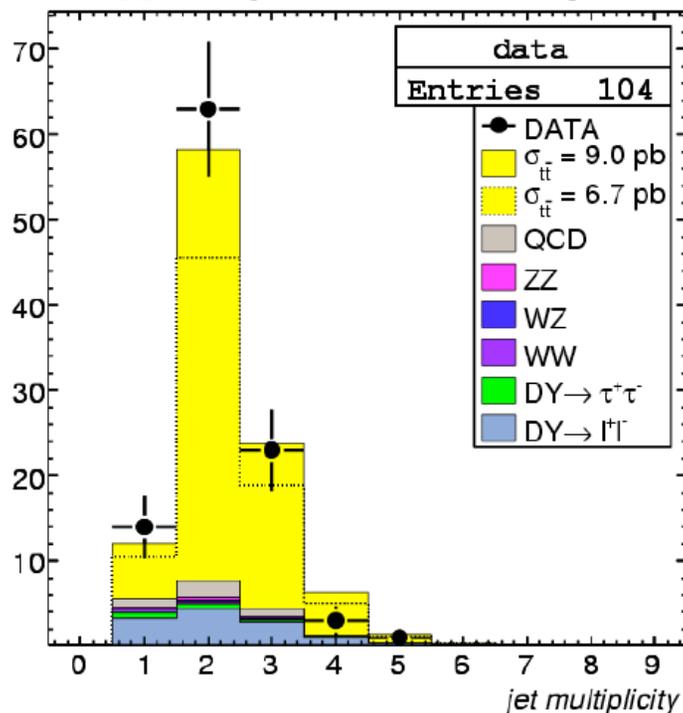
- At least two well-reconstructed tracks with ≥ 3 silicon hits
- Secondary vertex L_{XY} significance at least $+3\sigma$ (typical σ is $\sim 150\mu\text{m}$)
- Efficiency to tag a $t\bar{t}$ event is $\sim 55\%$
- $t\bar{t}$ tag fake rate: $\sim 0.5\%$



Dilepton Cross Section: CDF

- Sensitive to a different type of BSM contributions (e.g., $t \rightarrow H^+b$, $H^+ \rightarrow \tau^+\nu$ in MSSM)

Tagged Top Candidates With $N_{jet} \geq 2$

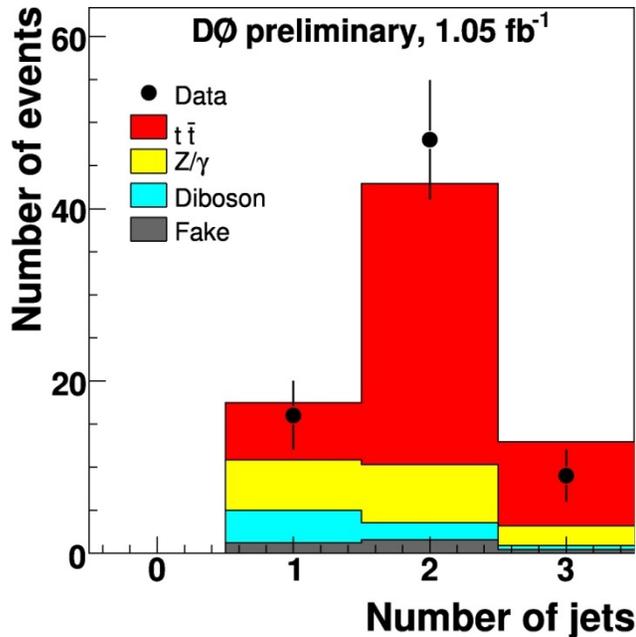


b tag required: $\sigma = 9.0 \pm 1.1$ (stat) ± 0.7 (sys) ± 0.5 (lumi) pb

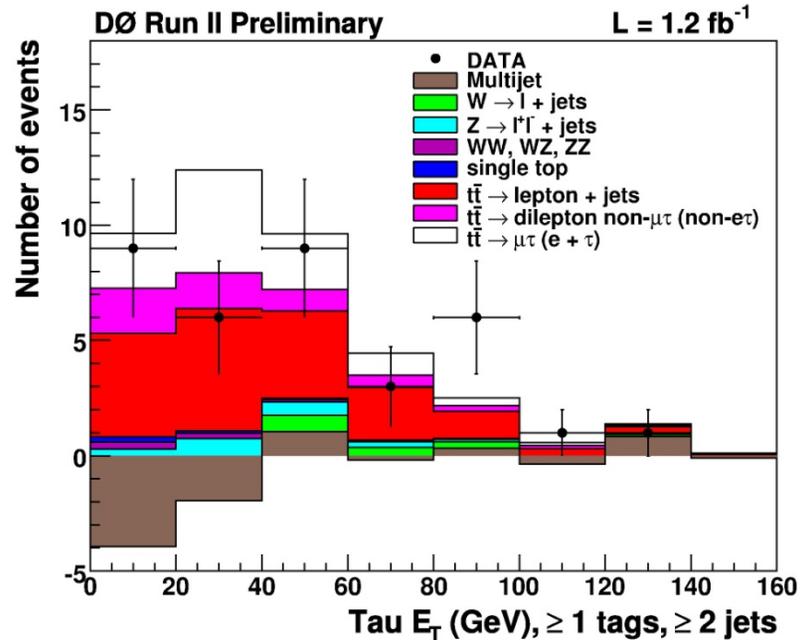
b tag not required: $\sigma = 6.78 \pm 0.96$ (stat) ± 0.42 (sys) ± 0.42 (lumi) pb

Dilepton Cross Section: D0

Topological Selection



$\tau + e, \mu$

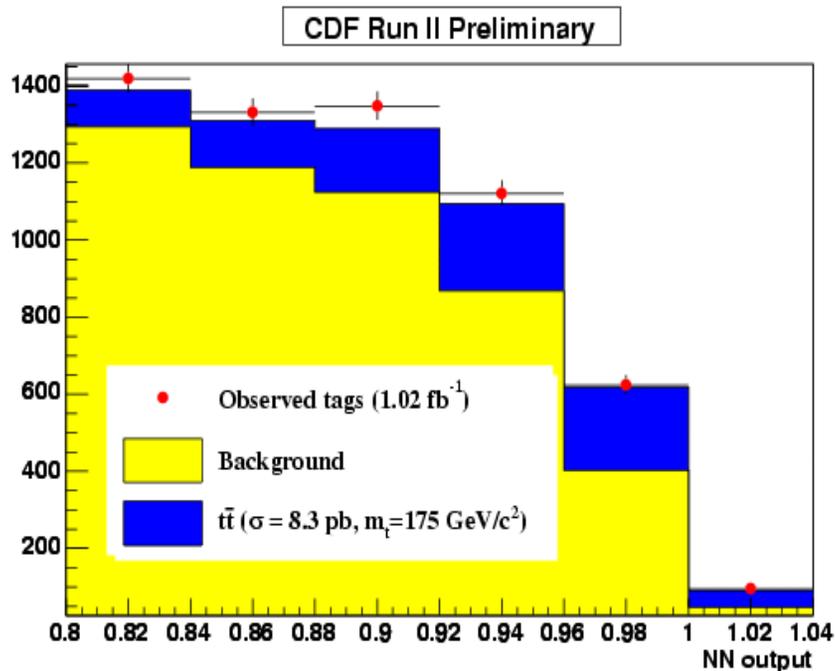


Topological:	$\sigma = 6.8^{+1.2}_{-1.1}$	(stat)	$+0.9$	(sys)	± 0.4	(lumi) pb
L + track + b tag:	$\sigma = 5.1^{+1.6}_{-1.4}$	(stat)	$+0.9$	(sys)	± 0.3	(lumi) pb
L + τ + b tag:	$\sigma = 8.3^{+2.0}_{-1.8}$	(stat)	$+1.4$	(sys)	± 0.5	(lumi) pb

All-Hadronic Cross Section

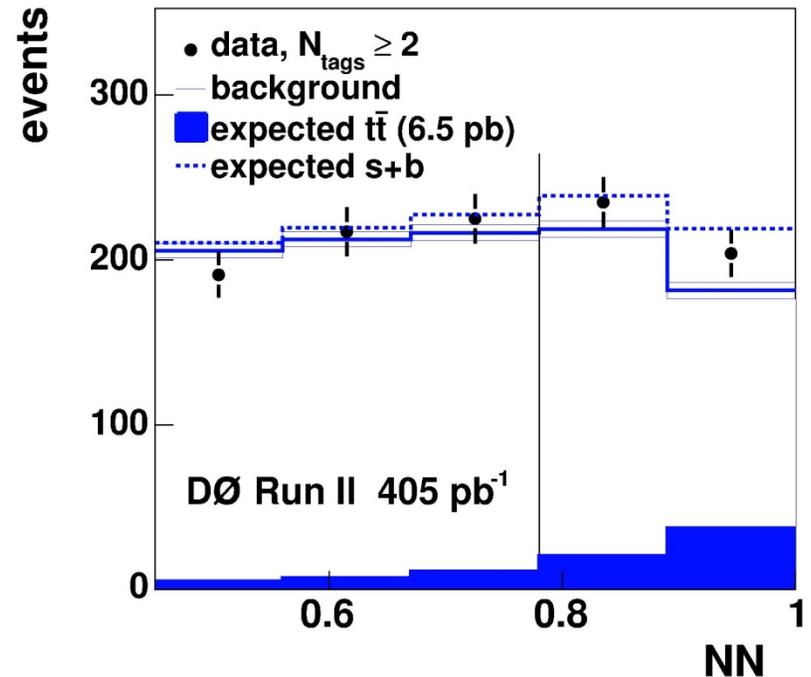
- Both CDF and D0 use kinematic neural networks to suppress backgrounds, then count b-tags

CDF



$$\sigma = 8.3 \pm 1.0 \text{ (stat)} \begin{matrix} +2.0 \\ -1.5 \end{matrix} \text{ (sys)} \pm 0.5 \text{ (lumi)} \text{ pb}$$

D0

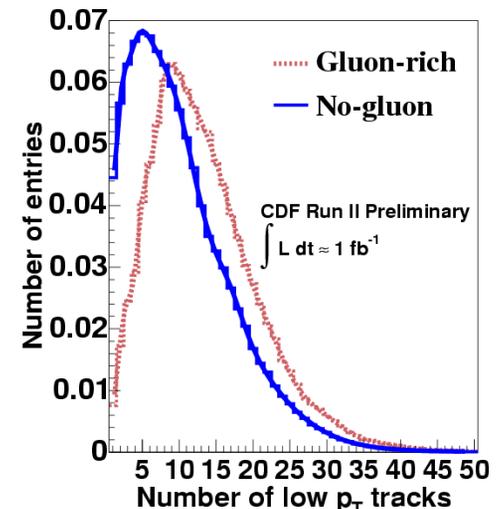
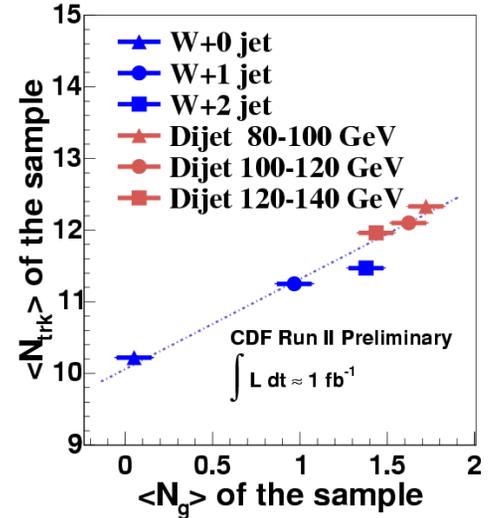


$$\sigma = 4.5 \begin{matrix} +2.0 \\ -1.9 \end{matrix} \text{ (stat)} \begin{matrix} +1.4 \\ -1.1 \end{matrix} \text{ (sys)} \pm 0.3 \text{ (lumi)} \text{ pb}$$

Measurement of $\sigma(\text{gg} \rightarrow \text{t}\bar{\text{t}}) / \sigma(\text{pp} \rightarrow \text{t}\bar{\text{t}})$: CDF

- Test of pQCD calculations
- With higher statistics could be used to improve gluon PDF uncertainty
- Sensitive to BSM production mechanisms
- The challenge: need to discriminate between identical final states
- The number of low P_{T} tracks (between 0.3 and 2.9 GeV/c) is correlated with the number of gluons in the sample

$$\sigma(\text{gg} \rightarrow \text{t}\bar{\text{t}}) / \sigma(\text{pp} \rightarrow \text{t}\bar{\text{t}}) = 0.07 \pm 0.14(\text{stat}) \pm 0.07(\text{syst})$$



W Helicity in Top Decays

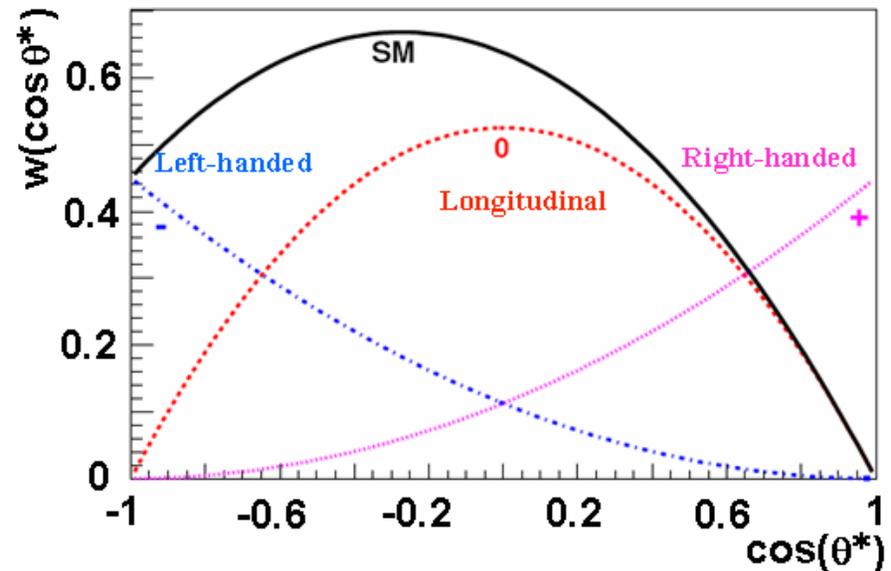
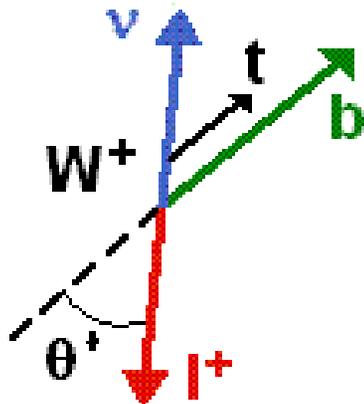
- Tests the V-A interaction in the SM
- General interaction:

$$w(\cos \theta^*) = f_- \cdot \frac{3}{8}(1 - \cos \theta^*)^2 + f_0 \cdot \frac{3}{4}(1 - \cos^2 \theta^*) + f_+ \cdot \frac{3}{8}(1 + \cos \theta^*)^2$$

$$f_- + f_0 + f_+ = 1$$

- SM values: $f_- = 0.3$, $f_0 = 0.7$, $f_+ \approx 0$

W rest frame



W Helicity Measurement: D0

- Likelihood discriminant is used to reduce background, NN to tag b jets
- Lepton+jets and dilepton channels are combined
- Templates in $\cos \theta^*$ variable are built from MC and then fitted to the data sample

➤ Simultaneous fit to f_0 and f_+ :

$$f_0 = 0.425 \pm 0.166(\text{stat}) \pm 0.102(\text{syst})$$

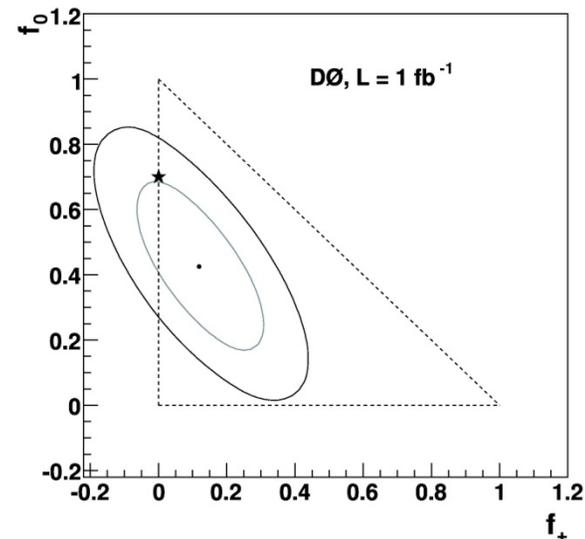
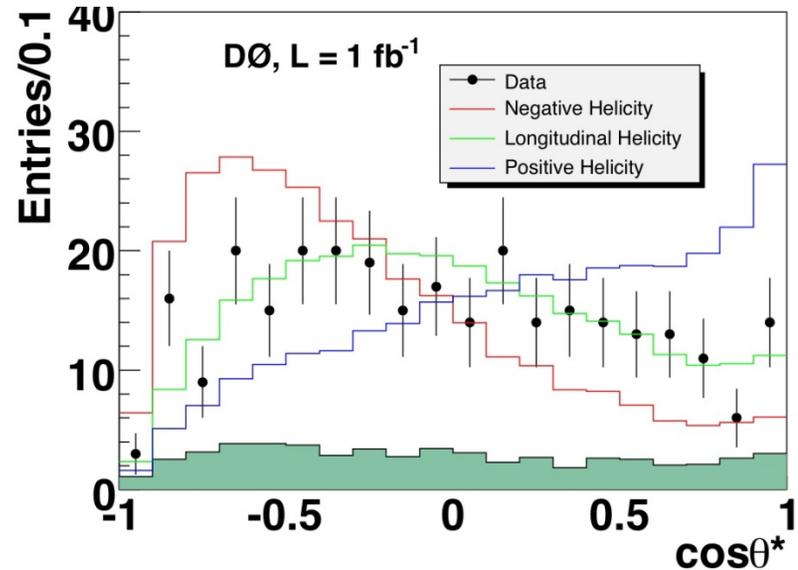
$$f_+ = 0.119 \pm 0.090(\text{stat}) \pm 0.053(\text{syst})$$

➤ Fit to f_0 (f_+) assuming SM f_+ (f_0):

$$f_0 = 0.619 \pm 0.090(\text{stat}) \pm 0.052(\text{syst})$$

$$f_+ = -0.002 \pm 0.047(\text{stat}) \pm 0.047(\text{syst})$$

$$(f_+ < 0.13 \text{ @ } 95\% \text{ C.L.})$$

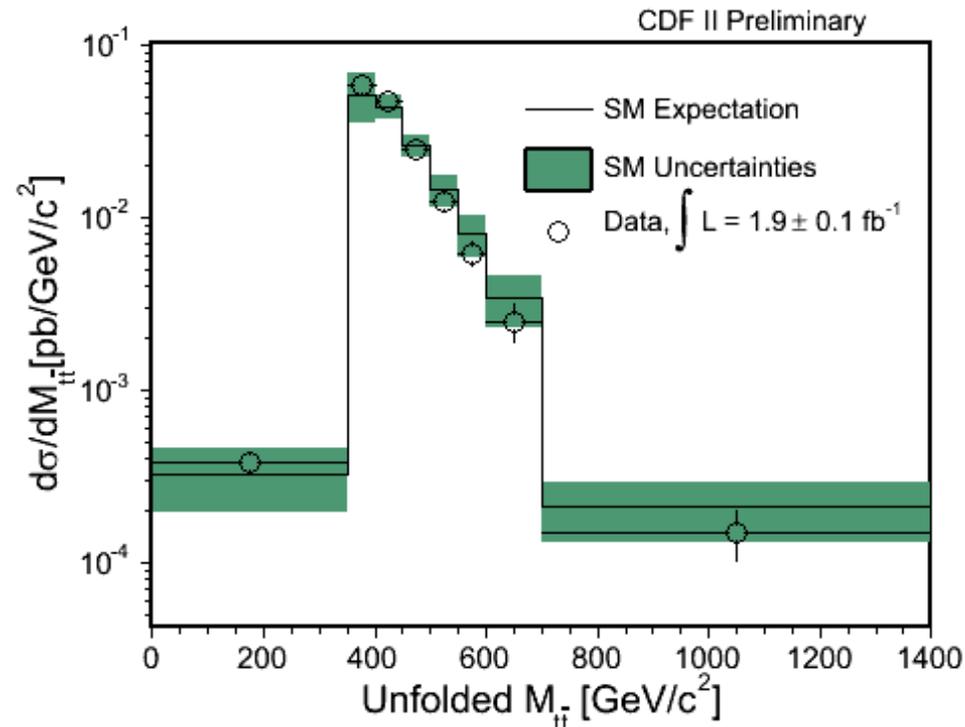


Differential Cross Section $d\sigma_{t\bar{t}}/dM_{t\bar{t}}$: CDF

- Lepton + ≥ 4 jets sample
- Measures $t\bar{t}$ production cross section in bins of $M_{t\bar{t}}$ (unfolded)

$$\frac{d\sigma^i}{dM_{t\bar{t}}} = \frac{N_i - N_i^{bkg}}{\mathcal{A}_i \int \mathcal{L} \Delta_{M_{t\bar{t}}}^i}$$

- Indirect probe for new physics (such as resonant $t\bar{t}$ production)
- Consistent with the SM (p-value = 0.45)



Measurement of $BR(t \rightarrow Wb) / BR(t \rightarrow Wq)$: D0

- In the SM

$$R \equiv \frac{BR(t \rightarrow Wb)}{BR(t \rightarrow Wq)} = \frac{|V_{tb}|^2}{|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2}$$

- Measured in the l +jets sample together with the $t\bar{t}$ production cross section
- b tagging probability dependence on R is used to constrain R

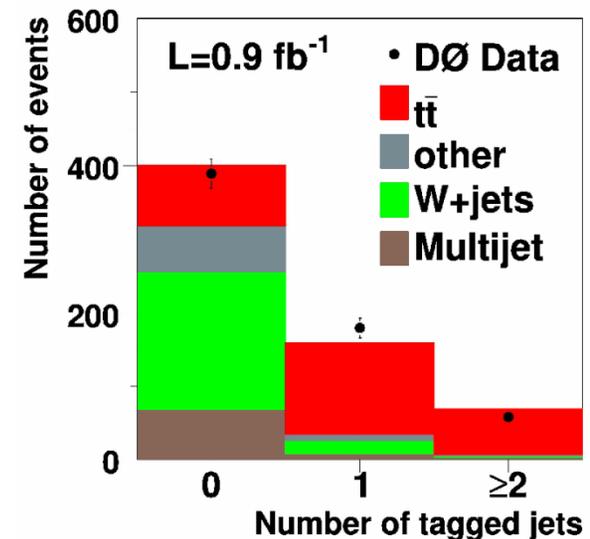
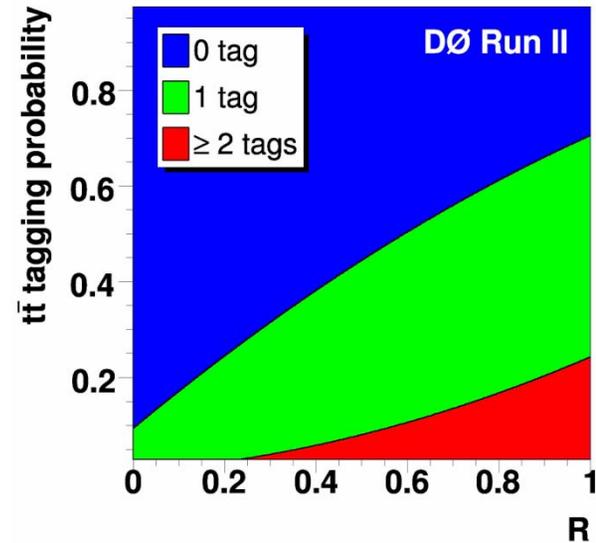
$$R = 0.97^{+0.09}_{-0.08} \text{ (stat + syst)}$$

$$\sigma_{t\bar{t}} = 8.18^{+0.9}_{-0.84} \text{ (stat + syst)} \pm 0.5 \text{ (lumi) pb}$$

assume unitarity, 3 generations

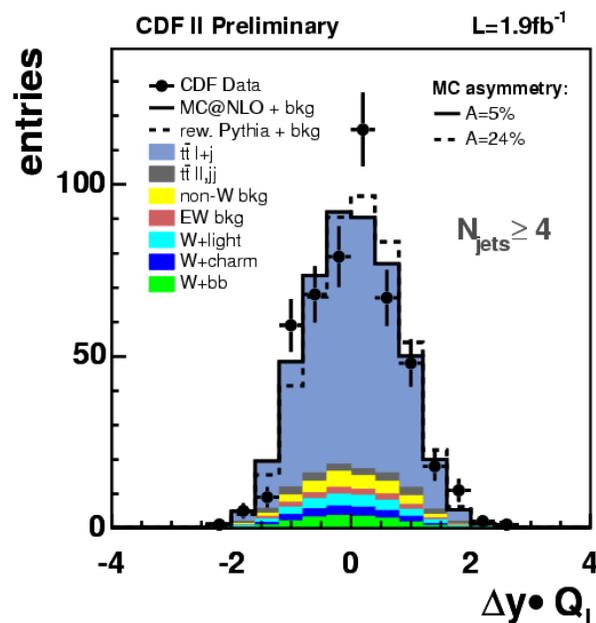
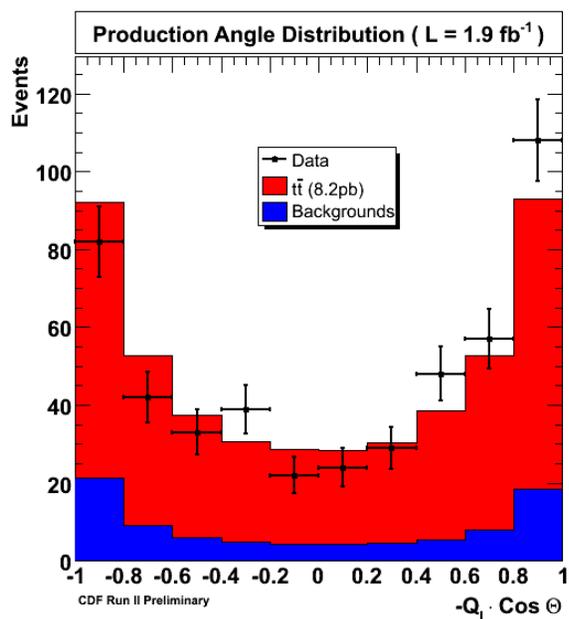
$$R > 0.79 \text{ @ 95\% C.L.}$$

$$|V_{tb}| > 0.89 \text{ @ 95\% C.L.}$$



Forward-Backward Asymmetry: CDF

- $$A_{fb} = \frac{N_f - N_b}{N_f + N_b}$$
- Tests discrete symmetries in the $t\bar{t}$ production
- Sensitive to C and P violation in $t\bar{t}$ production (axiguons, Z' , etc.)
- NLO: $A_{fb} = 0.04 \pm 0.01$ in the lab frame, about 30% larger in the $t\bar{t}$ rest frame
- Distributions sensitive to A_{fb} are corrected for background, efficiency, and smearing



Lab frame: $A_{fb} = 0.17 \pm 0.07(\text{stat}) \pm 0.04(\text{syst})$

$t\bar{t}$ rest frame: $A_{fb} = 0.24 \pm 0.13(\text{stat}) \pm 0.04(\text{syst})$